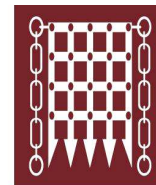


**Westminster Business Forum policy conference**  
**Culture and conduct in financial services - the impact of new regulation,**  
**developing healthy work cultures and the role of senior managers**

Timing: Morning, Thursday, 5<sup>th</sup> September 2019

Venue: 15Hatfields, Chadwick Court, London SE1 8DJ



**WESTMINSTER  
BUSINESS  
FORUM**

Agenda subject to change

- 8.30 - 9.00 Registration and coffee
- 9.00 - 9.05 **Chair's opening remarks**  
**Andrew Tucker**, Head of VAT Deductions and Financial Services, HMRC
- 9.05 - 9.30 **Culture and conduct in financial services - the regulators perspective**  
**David Blunt**, Head of Department, Conduct Specialists, FCA  
Questions and comments from the floor
- 9.30 - 9.55 **'Culture and conduct in financial services - the leadership challenge'**  
**Alison Cottrell**, Chief Executive Officer, Banking Standards Board  
Questions and comments from the floor
- 9.55 - 10.55 **The role of senior managers in fostering healthy cultures - removing barriers, psychological safety and implementing the extension of the SM&CR**  
**Amanda Hulme**, Partner and Head of Financial Regulation, Addleshaw Goddard  
**Henry Umney**, Chief Executive Officer, ClusterSeven  
**Hugh Savill**, Director of Regulation, ABI  
**Professor Lutfey Siddiqi**, Visiting Professor-in-Practice, London School of Economics and Political Science  
**Charlotte Thorne**, Founding Partner, Capital Generation Partners  
Questions and comments from the floor
- 10.55 - 11.00 **Chair's closing remarks**  
**Andrew Tucker**, Head of VAT Deductions and Financial Services, HMRC
- 11.00 - 11.25 Coffee
- 11.25 - 11.30 **Chair's opening remarks**  
**Rebecca Owen**, Senior Policy Advisor, HM Treasury
- 11.30 - 11.55 **International case study: addressing culture and conduct in financial services**  
**James R. Hennessy**, Senior Vice President, Supervision Group, Federal Reserve Bank of New York  
Questions and comments from the floor
- 11.55 - 12.55 **Employee wellbeing, diversity and incentives for firms to improve their culture and conduct**  
**Nick Caton**, Chair, Alliance for Finance and Regional Organiser, Community  
**Andrew Pepper-Parsons**, Head of Policy, Protect  
**Paul Chisnall**, Director, Capital Markets & Wholesale, UK Finance  
**Danielle Harmer**, Chief People Officer, Metro Bank  
Questions and comments from the floor
- 12.55 - 13.00 **Chair's and Westminster Business Forum closing remarks**  
**Rebecca Owen**, Senior Policy Advisor, HM Treasury  
**Helen Crocker**, Forum Lead, Westminster Business Forum