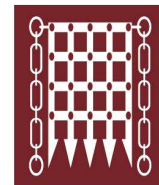


**Westminster Business Forum policy conference:
Culture and conduct in financial services - the impact of new regulation,
developing healthy work cultures and the role of senior managers**

Timing: Morning, Thursday, 5th September 2019

Venue: Central London



**WESTMINSTER
BUSINESS
FORUM**

Draft agenda subject to change

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|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 8.30 - 9.00 | Registration and coffee |
| 9.00 - 9.05 | <u>Chair's opening remarks</u>
Senior Parliamentarian |
| 9.05 - 9.30 | <u>Culture and conduct in financial services - the regulators perspective</u>
David Blunt , Head of Department, Conduct Specialists, Financial Conduct Authority
Questions and comments from the floor |
| 9.30 - 9.55 | <u>'Culture and conduct in financial services - the leadership challenge'</u>
Alison Cottrell , Chief Executive Officer, Banking Standards Board
Questions and comments from the floor |
| 9.55 - 10.55 | <u>The role of senior managers in fostering healthy cultures - removing barriers, psychological safety and implementing the extension of the SM&CR</u>
Amanda Hulme , Partner and Head of Financial Regulation, Addleshaw Goddard
Henry Umney , Chief Executive Officer, ClusterSeven
Hugh Savill , Director of Regulation, ABI
Senior representative, wealth management
Senior commentator
Questions and comments from the floor |
| 10.55 - 11.00 | <u>Chair's closing remarks</u>
Senior Parliamentarian |
| 11.00 - 11.25 | Coffee |
| 11.25 - 11.30 | <u>Chair's opening remarks</u>
Senior Parliamentarian |
| 11.30 - 11.55 | <u>International case study: addressing culture and conduct in financial services</u>
James R. Hennessy , Senior Vice President, Supervision Group, Federal Reserve Bank of New York
Questions and comments from the floor |
| 11.55 - 12.55 | <u>Diversity, employee wellbeing and incentives for firms to improve their culture and conduct</u>
Alison Miles , Senior Lecturer, Organisation Studies, University of the West of England, Bristol
Nick Caton , Chair, Alliance for Finance and Regional Organiser, Community
Senior speaker confirmed from Protect
Senior representative, bank
Senior representative, new market entrant
Questions and comments from the floor |
| 12.55 - 13.00 | <u>Chair's and Westminster Business Forum closing remarks</u>
Senior Parliamentarian
Peter van Gelder , Director, Westminster Business Forum |